#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Randy E. Keen Attorney Docket No.: KEENP001X1C1

Application No.: NEW Examiner: UNASSIGNED

Filed: HEREWITH Group: UNASSIGNED

Title: MOLECULAR WIRE INJECTION

**SENSORS** 

# INFORMATION DISCLOSURE STATEMENT 37 CFR §§1.56 AND 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

The references listed in the attached PTO Form 1449 may be material to examination of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. The above-identified application is a Continuation of prior application U.S. Patent Application No. 09/960,165. This prior application is being relied upon for an earlier filing date under 35 U.S.C. § 120. Because the listed references were either cited by the PTO, or submitted to the PTO in the prior application, under 37 CFR § 1.98(d) Applicants submit that copies need not be provided.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits, or (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. KEENP001X1C1).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP

Jeffrey K. Weaver Registration No. 31,314

P.O. Box 778 Berkeley, CA 94704-0778

Form 1449 (Modified)	Atty Docket No. KEENP001X1C1	Serial No.: NEW
Information Disclosure	Applicant:	INE W
Statement By Applicant	Randy E. Keen	
	Filing Date	Group
(Use Several Sheets if Necessary)	HEREWITH	UNASSIGNED

Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	A	5,431,883	07/11/95	Barraud	422	82.01	01/27/94
	В	4,777,019	10/11/88	Dandekar	422	68	04/11/86
	C	5,403,700	04/04/95	Heller, et al.	430	311	01/22/92
	D	5,401,376	03/28/95	Foos, et al.	204	415	03/11/94
	E	5,385,651	01/31/95	Stickney, et al.	204	109.25	05/28/93
	F	5,356,757	10/18/94	Shionoya, et al.	430	315	11/06/92
	G	5,320,736	06/14/94	Stickney, et al.	205	157	05/06/91
	Н	5,309,085	05/03/94	Sohn	324	71.5	11/24/92
	I	5,262,035	11/16/93	Gregg, et al.	204	403	08/02/89
	J	5,250,168	10/05/93	Tsukada, et al.	204	416	07/01/91
	K	5,243,516	09/07/93	White	364	413.07	12/15/89

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Tran	slation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	L	0228259B1	02/17/93	EPO	C12N	11/08	X	
	M	0395137B1	08/16/95	EPO	G01N	33/543	X	
	N	0230472B1	06/19/86	EPO	G01N	27/416	X	
	0	WO 93/08464	04/29/93	PCT	G01N	27/26	X	
	P	WO 94/28203	12/08/94	PCT	C25F	3/12	X	

#### **Other Documents**

		1100010			
Examiner	No.	Author, Title, Date, Place (e.g. Journal) of Publication			
Initial	<u> </u>				
	R	Heller, A: "Electrical Wiring of Redox Enzymes." Acc. Chem. Res.			
		23(5):128-134, 1990.			
	S	Khan, GF; Shinohara, H; Ikariyama, y; Aizawa, M: "Electrochemical			
	•	Behaviour of Monolayer Quinoprotein Adsorbed on the Electrode			
		Surface," J. Electroanal Chem. 315:263-273, 1991			
	T	Shinohara, H; Khan, GF; Ikariyama, Y; Aizawa, M: "Electrochemical			
		Oxidation and Reduction of PQQ Using a Conducting Polypyrrole-Coated			
		Electrode," J. Electroanal, Chem. 304:75-84, 1991.			
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Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	2A	5,215,631	06/01/93	Westfall	204	64	10/11/91
	2B	5,212,050	05/18/93	Mier, et al.	430	320	08/15/90
	2C	5,200,051	04/06/93	Cozzette, et al.	204	403	11/07/89
	2D	5,166,063	11/24/92	Johnson	435	173	06/29/90
	2E	5,140,393	08/18/92	Hijikihigawa, et al.	357	25	09/05/90
	2F	5,126,921	06/30/92	Fujishima, et al.	361	525	06/30/92
	2G	5,112,455	05/12/92	Cozzette, et al.	204	153.12	07/20/90
	2H	5,108,819	04/28/92	Heller, et al.	428	195	02/14/90
	2I	5,063,081	11/05/91	Cozzette, et al.	427	2	08/15/90
	2J	5,034,192	07/23/91	Wrighton, et al.	422	82.02	06/21/89
	2K	5,000,180	03/19/91	Kuypers, et al.	128	635	07/31/89

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Trans	slation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	2L							
	2M							
	2N							
	20		•					
	2P	·						

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Examiner					
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication			
	2R	Schuhmann, W; Ohara, TJ; Schmidt, H-L; Heller, A: "Electron Transfer			
		between Glucose Oxidase and Electrodes via Redox Mediators Bound with			
		Flexible Chains to the Enzyme Surface," J. Am. Chem. Soc. 113(4):1394-			
		1397, 1991.			
	2S	Gregg, BA; Heller, A: "Cross-Linked Redox Gels Containing Glucose			
		Oxidase for Amperometric Biosensor Applications," Anal Chem.			
		62(3):258-263, 1990.			
	2T	Heller, A: "Electrical Connection of Enzyme Redox Centers to Electrodes,"			
		J. Phys. Chem. 96(9):3579-3587, 1992.			
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Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	3A	4,963,815	10/16/90	Hafeman	324	715	02/10/87
	3B	4,942,127	07/17/90	Wada, et al.	435	11	05/06/88
	3C	4,936,956	06/26/90	Wrighton	204	153.21	10/29/87
	3D	4,929,313	05/29/90	Wrighton	204	153.1	01/04/88
	3E	4,909,921	03/20/90	Ito	204	403	02/09/89
	3F	4,895,705	01/23/90	Wrighton	422	68	05/13/87
	3G	4,894,339	12/17/86	Hanazato, et al.	435	182	12/17/86
	3H	4,889,612	12/26/89	Geist, et al.	204	416	05/22/87
	3I	4,874,500	10/17/89	Madou, et al.	204	412	07/15/87
	3J	4,839,000	06/13/89	Eddowes	204	1	11/21/86
	3K	4,764,797	08/16/88	Shaw, et al.	357	25	07/08/86

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication			Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	3L							
	3M							
	3N							
	30							
	3P							

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Examiner		
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	3R	Schuhmann, W: "Diagnostic Biosensor Polymers," ACS Symposium Series
		556. Usmani, AM; Akmal, N; eds. American Chemical Society; Washington,
		D.C.; 1994; pp. 110-123.
	3S	Heller, A: "Electrical Wiring of Redox Enzymes," Acc. Chem. Res.
		23(5):128-134, 1990.
	3T	Wrotnowski, Cort, "Biosensors are Making Steady Yet Limited Progress into
		the Marketplace," 11-15-96, Genetic Engineering News.
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Examiner	-					Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	4A	4,721,601	01/26/88	Wrighton, et al.	422	68	11/23/84
	4B	4,717,673	01/05/88	Wrighton, et al.	436	68	11/19/85
	4C	4,711,245	12/08/87	Higgins, et al.	128	635	05/07/84
	4D	4,591,550	05/27/86	Hafeman, et al.	435	4	04/05/84
	4E	4,545,382	10/08/85	Higgins, et al.	128	635	10/22/82
	4F	4,502,938	03/05/85	Covington, et al.	204	412	04/08/82
	4G	4,442,185	04/10/84	Skotheim	429	111	06/09/82
	4H	4,416,959	11/22/83	Skotheim	429	111	10/19/81
	4I	4,354,308	10/19/82	Shimada, et al.	29	571	02/05/80
	4J	4,225,410	09/30/80	Pace	204	195	12/04/78
	4K	4,218,298	08/19/80	Shimada, et al.	204	195	11/03/78

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Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	4L							
	4M							
	4N			,	İ			
	40							
	4P							

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Examiner		
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	4R	Dagani, Ron, "Single molecular wire shown to be conductive," 3-15-96, C&EN.
	48	Gregg, BA: Heller, "A:Redox Polymer Films Containing Enzymes.1. A Redox-Conducting Epoxy Cement: Synthesis, Characterization, and Electrocatalytic Oxidation of Hydroquinone." J Phys. Chem. 95:5970-5975, 1991.
	4T	Hale, PD et al. "A New Class of Amperometric Biosensor Incorporating a Polymeric Electron-Transfer Mediator." J. Am. Chem. Soc. 111(9): 3482-3484, 1989.
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Examiner					-	Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	5A	5,543,326	08/06/96	Heller, et al.	435	287.9	03/04/94
	5B	4,180,771	12/25/79	Guckel	324	71	12/02/77
	5C	4,562,157	12/31/85	Lowe, et al.	435	291	05/25/84
	5D	4,713,347	12/15/87	Mitchell, et al.	436	501	01/14/85
	5E	4,886,625	12/12/89	Albarella, et al.	252	500	10/29/87
	5F	4,916,075	04/10/90	Malmros, et al.	435	291	08/19/87
	5G	5,156,810	10/20/92	Ribi	422	82.01	06/15/89
	5H	5,202,261	04/13/93	Musho, et al.	435	288	11/18/91
	5I	5,320,725	06/14/94	Gregg, et al.	204	153.12	05/08/92
	5J	5,403,451	04/04/95	Riviello, et al.	204	153.1	03/04/94
	5K	5,422,246	06/06/95	Koopal, et al.	435	14	12/13/91

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Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	5L	· .						
	5M							
	5N							
	50							
	5P							

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Examiner		
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	5R	Cass, AEG, et al. "Ferrocene-Mediated Enzyme Electrode for Amperometric
	•	Determination of Glucose," Anal. Chem. 56:667-671, 1984.
	5S	Kober, EM, et al. "Synthetic Routes to New Polpyridyl Complexes of Osmium
1		(II)," <u>Inorg. Chem.</u> 27: 4587-4598, 1988.
	5T	Boguslavsky, LI et al. "Novel Biosensors for Specific Neurotransmitters Based
		on Flavoenzymes and Flexible Redox Polymers," Polym. Mater. Sci. Eng.
		64:322-323, 1991.
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Examiner					Ī	Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	6A	5,491,097	02/13/96	Ribi, et al.	436	518	02/28/94
	6B	5,532,128	07/02/96	Eggers, et al.	435	16	12/12/94
	6C	5,556,524	09/17/96	Albers	204	296	02/16/95
	6D	5,556,752	09/17/96	Lockhart, et al.	435	6	10/24/94
	6E	5,561,071	10/01/96	Hollenberg, et al.	437	1	09/25/95
	6F	5,571,568	11/05/96	Ribi, et al.	427	487	06/07/95
	6G	5,622,872	04/22/97	Ribi	436	518	05/11/95
	6H	5,534,132	07/09/96	Vreeke, et al.	205	777.5	05/04/95
	6I	5,320,725	06/14/94	Gregg, et al.	204	153.12	05/08/92
	6J	5,591,578	01/07/97	Meade, et al.	435	6	12/10/93
	6K	5,593,852	1/14/97	Heller	435	14	09/01/94

Foreign Patent or Published Foreign Patent Application

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Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	6L							
	6M							
	6N							
	60							
	6P							

## **Other Documents**

Examiner		
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	6R	Marcus, RA, et al. "Electron Transfers In Chemistry and Biology Biochim,"
		Biophys. Acta 811:265-322, 1985.
	6S	
		Device - A Novel Molecular Transistor," US Patent Application. KAMR
		Proprietary. 1-37. December 01, 1991.
	6T	Aizawa, M. et al., "Molecular Interfacing of Enzymes on the Electrode
		Surface," Chapter 26. In: Interfacial Design and Chemical Sensing. ACS
		Symposium Series 561. Mallouk, TE; Harrison, DJ; eds. American Chemical
		Society, Washington, D. C.: 305-314, 1994.
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Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	7A	5,252,743	10/12/93	Barrett et al.	548	303.7	11/31/90
	7B	5,670,322	09/23/97	Eggers et al.	435	6	06/01/95
	7C						
	7D						
	7E						
	7F						
	7G						
	7H						
-	7I						
	7J						
	7K						

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication			Sub-	Trans	lation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	7L							
	7M							
	7N							
	70							
	7P							

## **Other Documents**

Examiner				
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication		
	7R	Boehringer, et al., "Electron-Transport Rates in an Enzyme Electrode for		
		Glucose," ACS Symposium Series, American Chemical Society, Washington,		
		D.C., 1994, pp. 47-306.		
	7S	Collings, PJ: Chap. 9. "Polymer Liquid Crystals," In: Liquid Crystals:		
		Nature's Delicate Phase of Matter. Princeton University Press; Princeton, New		
		Jersey, 162-180; 1990.		
	7T	Ladik, J; Biczo, G; Redly, J: "Possibility of Superconductive-Type Enhanced		
		Conductivity in DNA at Room Temperature." Phys. Rev. 188(2):710-715,		
		1969.		
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Examiner					
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	8R		l, JH; Frohlich, H; Smith, CW: "Evidence For		
			s In An Enzyme: Likelihood Of Room		
			ive Regions," Phys. Lett. 53A(2):129-130, 1975.		
	8S		Synthesizing an Organic Superconductor,"		
,			-A1424, 1964. Little, WA: "Possibility of		
		A1424, 1964.	perconductor," Phys. Rev. 134(6A):A1416-		
	8T	1 • • • •	n Of Glucose Oxidase From Penicillin Vitale By		
			cceptors," <u>Biochim. Biophys. Acta</u> 744:57-63,		
		1983.			
	9R	Ikeda, T; et al. M: "Glucos	e Oxidase-Immobilized Benzoquinone-Carbon		
		Paste Electrode as a Glucos	se Sensor," Agric. Biol. Chem. 49(2):541-543,		
		1985.			
	9S	Matthews, FS;, et al.: "The Structure of Cytochrome b <sub>562</sub> from Escherichia			
		coli at 2.5 Å Resolution," <u>J. Biol. Chem.</u> 254(5):1699-1706, 1979.			
	9T	Weber, PC; et al.: "On the Evolutionary Relationship of the 4Helical			
	4.07		hem. 256(15):7702-7704, 1981.		
	10R		: Chap. 4. "Planar Technologies For		
			ors. In: Biosensors: Microelectrochemical		
		·	cs Publishing, Bristol, Philadelphia, New York;		
-	10S	1992; pp. 98-155.	ar Electron Transfer. Applications In Molecular		
	105				
			Electronics. In: Mixed Valency Systems: Applications In Chemistry, Physics and Biology," Prassides, K; ed. Kluwer Academic Publishers;		
		Dordrecht, Boston, London			
	10T		erties of Biological Materials," John Wiley &		
		Sons, Chichester and New			
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		Other Documents
Examiner		·
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	11R	Carter, F., "Molecular Electronic Devices II," Marcel Dekker, Inc.,
		New York and Basel; 1987, pp. 39-53; 269-310, 573-590 and 723-739.
	11S	Stegemeyer, H; "Liquid Crystals," Steinkopff, Darmstadt and
	<u> </u>	Springer, New York; 1994; Chapters 1-3.
	11T	Degani, Y; Heller A: "Direct Electrical Communication between
		Chemically Modified Enzymes and Metal Electrodes," 1. Electron
		<u>Transfer from Glucose</u> 20(1):78-81, 1979.
	12R	Miller, LL: Mann, KR: " $\pi$ - Dimers and $\pi$ -Stacks in Solution and in
		Conducting Polymers," Acc. Chem. Res. 29(9):417-423.
	12S	Herzfeld, J: "Entropically Driven Order in Crowded Solutions: From
		Liquid Crystals to Cell Biology," Acc. Chem. Res., 1996, pages 31-37.
	12T	Stix, G: "Trends in Semiconductor Manufacturing: Toward Point
		One," <u>Scientific American</u> 272(2):90-95, 1995.
	13R	Arkin, MR; et al.: "Rates of DNA-Mediated Electron Transfer
		Between Metallointercalators," Science 273:475-480, 1996.
	13S	Meade, TJ and Kayyem, JF: "Electron Transfer Through DNA: Site-
		Specific Modification of Duplex DNA with Ruthenium Donors and
		Acceptors," Angew. Chem. Int. Ed. Engl. 34(3):352-354, 1995.
	13T	Sailor, MJ; Curtis, CL: "Conducting Polymer Connections for
		Molecular Devices," <u>Adv. Mater.</u> 6(9):688-692, 1994.
	14R	Kressin, AM; et al.: "Synthesis of Stoichiometric Cadmium Selenide
		Films via Sequential Monolayer Electrodeposition," Chem. Mater.
		3(6):1015-1020, 1991.
	14S	Booy, FP; et al.: "Liquid-Crystalline, Phase-Like Packing Of
		Encapsulated DNA In Herpes Simplex Virus," Cell 64:1007-1015,
	1.470	1991.
	14T	Flory, PJ: "Nematic Phase Equilibrium in Rigid Chain Polymers,"
	1.57	Polymer Preprints 20(1):30, 1979
	15R	lizuka, E: "Liquid Crystals of Macromolecules Including Living
		Systems: With Stress on Their Susceptibilities to Electromagnetic
	150	Fields," Polymer Preprints 20(1):78-81, 1979
	15S	Rill, RL: "Liquid Crystalline Phases in Concentrated Aqueous Solutions of Na <sup>+</sup> DNA," <u>Proc. Natl. Acad. Sci. USA</u> 83:342-346,
		1986.
13-500	15T	Brandes, R; Kearns, DR: "Magnetic Ordering of DNA Liauid
	131	Crystals," Biochemistry 25(20):5890-5895, 1986
		Crystals, <u>Diodictinally</u> 23(20).3070-3073, 1700
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		Other Documents
Examiner		
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	16R	Alam, TM; Orban, J; Drobny, G: "A Solid-State Deuterium NMR
		Investigation of Conformation and Order in Magnetically Oriented
		[d(CGCGAATTCGCG)] <sub>2</sub> " <u>Biochemistry</u> 29(41):9610-9617, 1990.
	16S	Wang, J; Angnes, L: Miniaturized "Glucose Sensors Based on
		Electrochemical Codeposition Of Rhodium And Glucose Oxidase Onto
		Carbon-Fiber Electrodes," Anal. Chem. 64:456-459, 1992.
	16T	Lee, YC; Mendoza, BS: "Possible High-T <sub>c</sub> Superconductivity in Thin
		Wires." Phys. Rev. B39(7):4776-4779, 1989.
	17R	Canright, GS; Vignale, G: "Superconductivity and Acoustic Plasmons
		in the Two-Dimensional Electron Gas," Phys. Rev. B39(4):2740-2743,
		1989.
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Form 1449 (Modified)	Atty Docket No.	Serial No.:
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Information Disclosure	Applicant:	
Statement By Applicant	Randy E. Keen	
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(Use Several Sheets if Necessary)	HEREWITH	UNASSIGNED

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Examiner		Date Considered		